

# Math 4500 HW #09 Solutions

Instructor: Birgit Speh

TA: Guanyu Li

*This solution set is not error-free. Please email me (gl479@cornell.edu) if you spot any errors or typos!*

**Problem 1** (7+15+3=25 pts). Recall the definition of a homomorphism of Lie algebras. We say two abstract Lie algebras are isomorphic if there is a bijective homomorphism  $\varphi : \mathfrak{g} \rightarrow \mathfrak{h}$ .

1. Prove that  $\mathfrak{sl}_2(\mathbb{C}) := \{A \in M_2(\mathbb{C}) \mid \text{Trace } A = 0\}$  is a linear Lie algebra with the bracket  $[A, B] = AB - BA$ . Also prove that  $\mathfrak{sl}_2(\mathbb{C})$  admits a  $\mathbb{C}$ -linear basis  $X = \begin{pmatrix} & 1 \\ 1 & \end{pmatrix}$ ,  $Y = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix}$  and  $H = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix}$  with  $[X, Y] = H$ ,  $[H, X] = 2X$ , and  $[H, Y] = -2Y$ .
2. Bearing in mind that for any vector space  $V$ ,  $\mathfrak{gl}(V) := \{A \in \text{End}(V)\}$  with the bracket  $[A, B] = AB - BA$  is an abstract Lie algebra, prove that we have a Lie algebra homomorphism

$$\begin{aligned} \text{ad} : \mathfrak{sl}_2(\mathbb{C}) &\rightarrow \mathfrak{gl}(\mathfrak{sl}_2(\mathbb{C})) \\ x &\mapsto [x, -] \end{aligned}$$

which is injective but not surjective.

3. Find the eigenvalues of the linear map  $\text{ad}(H) : \mathfrak{sl}_2(\mathbb{C}) \rightarrow \mathfrak{sl}_2(\mathbb{C})$ .

*Solution.* (i) If matrix  $A \in \mathfrak{sl}_2(\mathbb{C})$ , then  $A = \begin{pmatrix} a & b \\ c & -a \end{pmatrix}$ . Thus

$$A = \begin{pmatrix} a & b \\ c & -a \end{pmatrix} = a \begin{pmatrix} 1 & \\ & -1 \end{pmatrix} + b \begin{pmatrix} & 1 \\ 1 & \end{pmatrix} + c \begin{pmatrix} & 1 \\ & -1 \end{pmatrix} = aH + bY + cX,$$

and clearly the expression is unique. Hence  $X, Y, H$  form a basis.

By direct computations,

$$[X, Y] = \begin{pmatrix} & 1 \\ 1 & \end{pmatrix} \begin{pmatrix} 1 & \\ & -1 \end{pmatrix} - \begin{pmatrix} 1 & \\ & -1 \end{pmatrix} \begin{pmatrix} & 1 \\ & -1 \end{pmatrix} = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix},$$

$$[H, X] = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix} \begin{pmatrix} & 1 \\ 1 & \end{pmatrix} - \begin{pmatrix} & 1 \\ 1 & \end{pmatrix} \begin{pmatrix} 1 & \\ & -1 \end{pmatrix} = 2 \begin{pmatrix} & 1 \\ 1 & \end{pmatrix},$$

and

$$[H, Y] = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix} \begin{pmatrix} & 1 \\ & -1 \end{pmatrix} - \begin{pmatrix} & 1 \\ & -1 \end{pmatrix} \begin{pmatrix} 1 & \\ & -1 \end{pmatrix} = -2 \begin{pmatrix} & 1 \\ & -1 \end{pmatrix}.$$

(ii) Since the Lie bracket of  $\mathfrak{gl}(\mathfrak{sl}_2(\mathbb{C}))$  is bilinear,  $\text{ad}$  is a linear map. For any  $z \in \mathfrak{sl}_2(\mathbb{C})$

$$\begin{aligned} \text{ad}([x, y])(z) &= [[x, y], z] \\ &= -[[z, x], y] - [[y, z], x] \\ &= \text{ad}(y)(-\text{ad}(x)(z)) + \text{ad}(x)(\text{ad}(y)(z)) \\ &= (\text{ad}(x) \circ \text{ad}(y) - \text{ad}(y) \circ \text{ad}(x))(z) \\ &= [\text{ad}(x), \text{ad}(y)](z),^{[7]} \end{aligned}$$

where the second equation comes from Jacobi identity. Hence  $\text{ad}$  is a homomorphism.  $\text{ad}$  is apparently not surjective since  $\mathfrak{sl}_2(\mathbb{C})$  is of dimension 3 but  $\mathfrak{gl}(\mathfrak{sl}_2(\mathbb{C}))$  is of dimension 9.<sup>[3]</sup> Finally to see  $\text{ad}$  is injective, it suffices to prove that  $\text{ad}(X), \text{ad}(Y), \text{ad}(H)$  are linearly independent. Suppose we have a linear combination

$$a\text{ad}(X) + b\text{ad}(Y) + c\text{ad}(H) = 0,$$

then for any  $A \in \mathfrak{sl}_2(\mathbb{C})$

$$a\text{ad}(X)(A) + b\text{ad}(Y)(A) + c\text{ad}(H)(A) = 0.$$

Take  $A = X$  and  $A = H$  respectively, and by the linearly independence of  $X, Y, H$ , we have  $a = b = c = 0$ , which means  $\text{ad}(X), \text{ad}(Y), \text{ad}(H)$  are linearly independent.

(iii) By part (i),  $\text{ad}(H)$  has eigenvectors  $X, Y, H$  and eigenvalues 2, -2, 0 respectively.  $\square$

**Problem 2** (Exercise 6.5.4 (10 pts)). Prove that each  $4 \times 4$  skew-symmetric matrix is uniquely decomposable as a sum

$$\begin{pmatrix} & -a & -b & -c \\ a & & -c & b \\ b & c & & -a \\ c & -b & a & \end{pmatrix} + \begin{pmatrix} & -x & -y & -z \\ x & & z & -y \\ y & -z & & x \\ z & y & -x & \end{pmatrix}.$$

*Solution.* For any  $4 \times 4$  skew-symmetric matrix  $\begin{pmatrix} & -\alpha & -\beta & -\gamma \\ \alpha & & -\delta & -\epsilon \\ \beta & \delta & & -\eta \\ \gamma & \epsilon & \eta & \end{pmatrix}$ , we have

$$\begin{pmatrix} & -\alpha & -\beta & -\gamma \\ \alpha & & -\delta & -\epsilon \\ \beta & \delta & & -\eta \\ \gamma & \epsilon & \eta & \end{pmatrix} = \begin{pmatrix} & -\frac{\alpha+\eta}{2} & -\frac{\beta-\epsilon}{2} & -\frac{\gamma+\delta}{2} \\ \frac{\alpha+\eta}{2} & & -\frac{\gamma+\delta}{2} & \frac{\beta-\epsilon}{2} \\ \frac{\beta-\epsilon}{2} & \frac{\gamma+\delta}{2} & & -\frac{\alpha+\eta}{2} \\ \frac{\gamma+\delta}{2} & -\frac{\beta-\epsilon}{2} & \frac{\alpha+\eta}{2} & \end{pmatrix} + \begin{pmatrix} & -\frac{\alpha-\eta}{2} & -\frac{\beta+\epsilon}{2} & -\frac{\gamma-\delta}{2} \\ \frac{\alpha-\eta}{2} & & \frac{\gamma-\delta}{2} & -\frac{\beta+\epsilon}{2} \\ \frac{\beta+\epsilon}{2} & -\frac{\gamma-\delta}{2} & & \frac{\alpha-\eta}{2} \\ \frac{\gamma-\delta}{2} & \frac{\beta+\epsilon}{2} & -\frac{\alpha-\eta}{2} & \end{pmatrix}. \quad (1)$$

The uniqueness comes from the fact that writing into the form of a sum gives us a linear system of equations.  $\square$

**Problem 3** (Exercise 6.5.6 (15 pts)). Deduce from Exercises 6.5.4 and 6.5.5 that  $\mathfrak{so}(4)$  is isomorphic to the direct product  $\mathfrak{so}(3) \times \mathfrak{so}(3)$  (also known as the direct sum and commonly written  $\mathfrak{so}(3) \oplus \mathfrak{so}(3)$ ).

*Solution.* Let  $I = -E_{12} - E_{34}, J = -E_{13} + E_{24}, K = -E_{14} - E_{23}$ , and  $L = -E_{12} + E_{34}, M = -E_{13} - E_{24}, N = -E_{14} + E_{23}$ , we have that

$$\begin{pmatrix} & -\alpha & -\beta & -\gamma \\ \alpha & & -\delta & -\epsilon \\ \beta & \delta & & -\eta \\ \gamma & \epsilon & \eta & \end{pmatrix} = \frac{\alpha+\eta}{2}I + \frac{\beta-\epsilon}{2}J + \frac{\gamma+\delta}{2}K + \frac{\alpha-\eta}{2}L + \frac{\beta+\epsilon}{2}M + \frac{\gamma-\delta}{2}N.$$

And also by Exercise 6.5.5. and direct computation,  $[I, J] = 2K, [J, K] = 2I, [K, I] = 2J$  and  $[L, M] = 2N, [M, N] = 2L, [N, L] = 2M$ .<sup>[10]</sup> But also we have that  $[I, L] = [I, M] = [I, N] = [J, L] = [J, M] = [J, N] = [K, L] = [K, M] = [K, N] = 0$ , hence we have two copies of  $\mathfrak{so}(3)$  in  $\mathfrak{so}(4)$ , and by the linear independence we know that  $\mathfrak{so}(4) \cong \mathfrak{so}(3) \times \mathfrak{so}(3)$ .  $\square$

**Problem 4** (Exercise 8.1.3 (5 pts)). Give an example of an infinite intersection of open sets that is not open.

*Solution.* Let  $X = \mathbb{R}$  be the topological space with the Euclidean topology, and let  $U_n := (-\frac{1}{n}, \frac{1}{n})$  be the sequence of open sets. Then

$$\bigcap_{n=1}^{\infty} U_n = \{0\},$$

which is a closed subset instead of an open set.  $\square$

**Problem 5** (Exercise 8.2.1 (15 pts)). Prove that  $U(n), SU(n)$  and  $Sp(n)$  are closed subsets of the appropriate matrix spaces.

*Solution.* Define the map

$$\begin{aligned}\varphi_1 : M_n(\mathbb{C}) &\rightarrow M_n(\mathbb{C}) \\ A &\mapsto A\bar{A}^T,\end{aligned}$$

then  $\varphi_1$  is obviously continuous and  $U(n)$  is the preimage of the point  $\{I\}$ , hence it is closed in  $M_n(\mathbb{C})$ . Similarly, define

$$\begin{aligned}\varphi_2 : U(n) &\rightarrow \mathbb{C} \\ A &\mapsto \det A\end{aligned}$$

and

$$\begin{aligned}\varphi_3 : M_n(\mathbb{H}) &\rightarrow M_n(\mathbb{H}) \\ A &\mapsto A\bar{A}^T,\end{aligned}$$

where  $SU(n) = \varphi_2^{-1}(1)$  and  $Sp(n) = \varphi_3^{-1}(I)$ , i.e.  $SU(n)$  and  $Sp(n)$  are preimages of two closed points. In conclusion,  $U(n)$  is closed in  $M_n(\mathbb{C})$ ,  $SU(n)$  is closed in  $U(n)$  hence in  $M_n(\mathbb{C})$ , and  $Sp(n)$  is closed in  $M_n(\mathbb{H})$ .  $\square$